

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

## Account Information

Name	
Account Alias	2GB Investment Account
Account	U1713881
Account Type	Individual
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	USD

## Net Asset Value

	December 7, 2023		December 8, 2023			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	236.56	236.56	0.00	<b>236.56</b>	0.00	Starting Value	277,520.91	
Collateral Value	52,311.00	50,778.00	0.00	<b>50,778.00</b>	-1,533.00	Mark-to-Market	410.67	
Stock	277,213.66	277,624.32	0.00	<b>277,624.32</b>	410.67	Ending Value	277,931.57	
Securities Lent	-52,311.00	0.00	-50,778.00	<b>-50,778.00</b>	1,533.00			
Dividend Accruals	70.69	70.69	0.00	<b>70.69</b>	0.00			
<b>Total</b>	<b>277,520.91</b>	<b>328,709.57</b>	<b>-50,778.00</b>	<b>277,931.57</b>	<b>410.67</b>			

**Time Weighted Rate of Return** 0.15%

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Total	Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total		
<b>Stocks</b>											
DIA	100	100	361.8300	363.1200	129.00	0.00	0.00	0.00	129.00		
GSG	882	882	19.7100	19.9200	185.22	0.00	0.00	0.00	185.22		
IQQR	40	40	4.7000	4.7000	0.00	0.00	0.00	0.00	0.00		
QQQ	51	51	390.4300	392.1700	88.74	0.00	0.00	0.00	88.74		
SHY	160	160	81.6600	81.4800	-28.80	0.00	0.00	0.00	-28.80		
SPY	70	70	458.2300	460.2000	137.90	0.00	0.00	0.00	137.90		
STIP	180	180	97.6600	97.5800	-14.40	0.00	0.00	0.00	-14.40		
TIP	460	460	105.6200	105.4900	-59.80	0.00	0.00	0.00	-59.80		

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
USFR	1,065	1,065	50.3400	50.3500	10.65	0.00	0.00	0.00	10.65	
VWOB	621	621	62.1800	62.1200	-37.26	0.00	0.00	0.00	-37.26	
<b>Total Stocks</b>					<b>411.25</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>411.25</b>	
<b>Forex</b>										
CAD	0.00	0.00	0.73529	0.73618	0.00	0.00	0.00	0.00	0.00	
CHF	0.00	0.00	1.1427	1.1365	0.00	0.00	0.00	0.00	0.00	
EUR	0.00	0.00	1.0794	1.0763	-0.58	0.00	0.00	0.00	-0.58	
JPY	0.00	0.00	0.0069379	0.0068989	0.00	0.00	0.00	0.00	0.00	
USD	236.56	236.56	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
<b>Total Forex</b>					<b>-0.58</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>-0.58</b>	
<b>Total (All Assets)</b>					<b>410.67</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>410.67</b>	

## Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
<b>Stocks</b>													
DIA	0.00	0.00	0.00	0.00	0.00	0.00	2,036.00	0.00	0.00	0.00	2,036.00	2,036.00	
GSG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,944.89	0.00	0.00	-1,944.89	-1,944.89	
IQQR	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	26.34	0.00	26.34	26.34	
QQQ	0.00	0.00	0.00	0.00	0.00	0.00	2,088.75	0.00	0.00	0.00	2,088.75	2,088.75	
SHY	0.00	0.00	0.00	0.00	0.00	0.00	80.20	0.00	0.00	0.00	80.20	80.20	
SPY	0.00	0.00	0.00	0.00	0.00	0.00	2,919.50	0.00	0.00	0.00	2,919.50	2,919.50	
STIP	0.00	0.00	0.00	0.00	0.00	0.00	110.70	0.00	0.00	0.00	110.70	110.70	
TIP	0.00	0.00	0.00	0.00	0.00	0.00	286.78	-461.32	0.00	0.00	-174.54	-174.54	
USFR	0.00	0.00	0.00	0.00	0.00	0.00	14.73	-142.43	0.00	0.00	-127.70	-127.70	
VWOB	0.00	0.00	0.00	0.00	0.00	0.00	279.00	-91.80	0.00	0.00	187.20	187.20	
<b>Total Stocks</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>7,815.67</b>	<b>-2,640.44</b>	<b>26.34</b>	<b>0.00</b>	<b>5,201.56</b>	<b>5,201.56</b>	

### Notes

1. This statement uses wash sales P/L for realized and unrealized P/L calculations.

## Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
<b>Stocks</b>							
DIA	SPDR DJIA TRUST	312.00	2,791.93	0.00	483.93	0.00	0.00
DJP	IPATH BLOOMBERG COMMODITY IN	0.00	-442.65	0.00	-442.65	0.00	0.00
EIDO	ISHARES MSCI INDONESIA ETF	0.00	137.23	0.00	90.05	0.00	0.00
EWL	ISHARES MSCI SWITZERLAND ETF	0.00	-87.73	0.00	-123.48	0.00	0.00
EWV	ISHARES MSCI MEXICO ETF	0.00	1,082.23	0.00	1,017.10	0.00	0.00
GLD	SPDR GOLD SHARES	0.00	1,288.36	0.00	1,288.36	0.00	0.00
GSG	ISHARES S&P GSCI COMMODITY I	-670.32	-1,944.89	0.00	0.00	0.00	0.00

## Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
IEF	ISHARES 7-10 YEAR TREASURY B	0.00	-612.28	0.00	-699.15	0.00	0.00
ILF	ISHARES LATIN AMERICA 40 ETF	0.00	679.54	0.00	633.63	0.00	0.00
ITA	ISHARES U.S. AEROSPACE & DEF	0.00	410.63	0.00	375.20	0.00	0.00
QQQ	INVESCO QQQ TRUST SERIES 1	170.34	2,903.40	0.00	814.65	0.00	0.00
SHY	ISHARES 1-3 YEAR TREASURY BO	12.32	327.78	0.00	71.83	0.00	0.00
SPY	SPDR S&P 500 ETF TRUST	266.00	4,871.16	0.00	1,852.50	0.00	0.00
STIP	ISHARES 0-5 YEAR TIPS BOND E	-1.13	1,095.54	0.00	-70.87	0.00	0.00
TIP	ISHARES TIPS BOND ETF	255.61	718.04	0.00	0.00	0.00	0.00
TLT	ISHARES 20+ YEAR TREASURY BO	362.80	1,537.23	862.63	1,595.35	0.00	0.00
USFR	WISDOMTREE FLOATING RATE TRE	26.13	1,462.59	0.00	12.20	0.00	0.00
VWOB	VANGUARD EMERG MKTS GOV BND	659.07	1,333.59	0.00	0.00	0.00	0.00
XLI	INDUSTRIAL SELECT SECT SPDR	0.00	122.13	0.00	65.10	0.00	0.00
XLY	CONSUMER DISCRETIONARY SELT	0.00	432.80	0.00	432.80	0.00	0.00
<b>Total Stocks</b>		<b>1,392.82</b>	<b>18,106.61</b>	<b>862.63</b>	<b>7,396.54</b>	<b>0.00</b>	<b>0.00</b>
<b>Equity and Index Options</b>							
DIA 231110C00342000	DIA 10NOV23 342 C	0.00	142.34	0.00	142.34	0.00	0.00
IEF 230127C00101000	IEF 27JAN23 101 C	0.00	18.64	0.00	18.64	0.00	0.00
IEF 230210C00098500	IEF 10FEB23 98.5 C	0.00	90.19	0.00	90.19	0.00	0.00
QQQ 231020C00363000	QQQ 20OCT23 363 C	0.00	-327.08	0.00	-327.08	0.00	0.00
QQQ 231020C00375000	QQQ 20OCT23 375 C	0.00	139.92	0.00	139.92	0.00	0.00
SPY 230310P00384000	SPY 10MAR23 384 P	0.00	226.60	0.00	226.60	0.00	0.00
SPY 230310C00407000	SPY 10MAR23 407 C	0.00	249.95	0.00	249.95	0.00	0.00
SPY 230406P00380000	SPY 06APR23 380 P	0.00	87.58	0.00	87.58	0.00	0.00
SPY 230616P00380000	SPY 16JUN23 380 P	0.00	364.15	0.00	364.15	0.00	0.00
SPY 230728P00440000	SPY 28JUL23 440 P	0.00	101.95	0.00	101.95	0.00	0.00
SPY 230818C00440000	SPY 18AUG23 440 C	0.00	773.16	0.00	773.16	0.00	0.00
SPY 231110C00434000	SPY 10NOV23 434 C	0.00	-44.83	0.00	-44.83	0.00	0.00
TIP 231117C00104000	TIP 17NOV23 104 C	0.00	185.59	0.00	185.59	0.00	0.00
TLT 230127C00110000	TLT 27JAN23 110 C	0.00	49.02	0.00	49.02	0.00	0.00
TLT 230210C00106500	TLT 10FEB23 106.5 C	0.00	118.61	0.00	118.61	0.00	0.00
TLT 230310C00103000	TLT 10MAR23 103 C	0.00	67.96	0.00	0.00	0.00	0.00
TLT 231020P00087000	TLT 20OCT23 87 P	0.00	145.43	0.00	0.00	0.00	0.00
TLT 231110C00088000	TLT 10NOV23 88 C	0.00	66.41	0.00	66.41	0.00	0.00
XLK 230428P00145000	XLK 28APR23 145 P	0.00	158.96	0.00	158.96	0.00	0.00
<b>Total Equity and Index Options</b>		<b>0.00</b>	<b>2,614.53</b>	<b>0.00</b>	<b>2,401.14</b>	<b>0.00</b>	<b>0.00</b>
<b>Total (All Assets)</b>		<b>1,392.82</b>	<b>20,721.14</b>	<b>862.63</b>	<b>9,797.67</b>	<b>0.00</b>	<b>0.00</b>

## Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
<b>Base Currency Summary</b>					
<b>Cash Detail</b>					
Starting Cash	236.56	236.56	0.00		
Commissions	0.00	0.00	0.00	-3.09	-124.97

## Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Deposits	0.00	0.00	0.00	0.00	1.16
Account Transfers	0.00	0.00	0.00	0.00	20,621.29
Dividends	0.00	0.00	0.00	400.98	6,057.68
Broker Interest Paid and Received	0.00	0.00	0.00	7.33	367.52
Trades (Sales)	0.00	0.00	0.00	9,491.00	497,421.48
Trades (Purchase)	0.00	0.00	0.00	-9,665.26	-759,747.46
Other Fees	0.00	0.00	0.00	-14.03	-257.29
Payment In Lieu of Dividends	0.00	0.00	0.00	0.00	1,387.23
Withholding Tax	0.00	0.00	0.00	0.00	-1,658.61
Cash FX Translation Gain/Loss	0.00	0.00	0.00		
Ending Cash	236.56	236.56	0.00		
Ending Settled Cash	236.56	236.56	0.00		
<b>Collateral Value Detail</b>					
Starting Collateral Value	52,311.00	52,311.00	0.00		
Net Securities Lent Activity	-1,533.00	-1,533.00	0.00		
Ending Collateral Value	50,778.00	50,778.00	0.00		
<b>Net Cash Detail</b>					
Net Cash Balance	51,014.56	51,014.56	0.00		
Net Settled Cash Balance	51,014.56	51,014.56	0.00		
<b>EUR</b>					
<b>Cash Detail</b>					
Starting Cash	0.00	0.00	0.00		
Deposits	0.00	0.00	0.00	0.00	1.08
Account Transfers	0.00	0.00	0.00	0.00	1,263.45
Trades (Purchase)	0.00	0.00	0.00	0.00	-1,264.53
Ending Cash	0.00	0.00	0.00		
Ending Settled Cash	0.00	0.00	0.00		
<b>USD</b>					
<b>Cash Detail</b>					
Starting Cash	236.56	236.56	0.00		
Commissions	0.00	0.00	0.00	-3.09	-124.97
Account Transfers	0.00	0.00	0.00	0.00	19,281.27
Dividends	0.00	0.00	0.00	400.98	6,057.68
Broker Interest Paid and Received	0.00	0.00	0.00	7.33	367.52
Trades (Sales)	0.00	0.00	0.00	9,491.00	497,421.48
Trades (Purchase)	0.00	0.00	0.00	-9,665.26	-758,396.72
Other Fees	0.00	0.00	0.00	-14.03	-257.29
Payment In Lieu of Dividends	0.00	0.00	0.00	0.00	1,387.23
Withholding Tax	0.00	0.00	0.00	0.00	-1,658.61
Ending Cash	236.56	236.56	0.00		
Ending Settled Cash	236.56	236.56	0.00		
<b>Collateral Value Detail</b>					
Starting Collateral Value	52,311.00	52,311.00	0.00		
Net Securities Lent Activity	-1,533.00	-1,533.00	0.00		
Ending Collateral Value	50,778.00	50,778.00	0.00		
<b>Net Cash Detail</b>					

## Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Net Cash Balance	51,014.56	51,014.56	0.00		
Net Settled Cash Balance	51,014.56	51,014.56	0.00		

## Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
<b>Stocks</b>								
<b>EUR</b>								
IQQR	40	1	4.08817497	163.53	4.7000	188.00	24.47	
<b>Total</b>				<b>163.53</b>		<b>188.00</b>	<b>24.47</b>	
<b>Total in USD</b>				<b>176.00</b>		<b>202.34</b>	<b>26.34</b>	
<b>USD</b>								
DIA	100	1	342.7600	34,276.00	363.1200	36,312.00	2,036.00	
GSG	882	1	22.1250907	19,514.33	19.9200	17,569.44	-1,944.89	
QQQ	51	1	351.21408451	17,911.92	392.1700	20,000.67	2,088.75	
SHY	160	1	80.97871875	12,956.60	81.4800	13,036.80	80.20	
SPY	70	1	418.49285714	29,294.50	460.2000	32,214.00	2,919.50	
STIP	180	1	96.9650	17,453.70	97.5800	17,564.40	110.70	
TIP	460	1	105.86944348	48,699.94	105.4900	48,525.40	-174.54	
USFR	1,065	1	50.46990461	53,750.45	50.3500	53,622.75	-127.70	
VWOB	621	1	61.81855233	38,389.32	62.1200	38,576.52	187.20	
<b>Total</b>				<b>272,246.76</b>		<b>277,421.98</b>	<b>5,175.22</b>	
<b>Total Stocks in USD</b>				<b>272,422.76</b>		<b>277,624.32</b>	<b>5,201.56</b>	

## Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
<b>Stocks</b>					
<b>EUR</b>					
IQQR	ISHARES MSCI EAST EUROPE CPD	40	0	0	40
<b>USD</b>					
DIA	SPDR DJIA TRUST	100	0	-100	0
GSG	ISHARES S&P GSCI COMMODITY I	882	0	0	882
QQQ	INVESCO QQQ TRUST SERIES 1	51	0	-22	29
SHY	ISHARES 1-3 YEAR TREASURY BO	160	0	0	160
SPY	SPDR S&P 500 ETF TRUST	70	0	0	70
STIP	ISHARES 0-5 YEAR TIPS BOND E	180	0	-50	130
TIP	ISHARES TIPS BOND ETF	460	0	0	460
USFR	WISDOMTREE FLOATING RATE TRE	1,065	0	0	1,065
VWOB	VANGUARD EMERG MKTS GOV BND	621	0	0	621

### Stock Yield Enhancement Program Securities Lent

Symbol	Transaction ID	Quantity	Interest Rate on Customer Collateral (%)	Collateral Amount
<b>Stocks</b>				
<b>USD</b>				
DIA	SLB.88103627	-100	0.05	37,000.00
QQQ	SLB.88058837	-22	0.05	8,778.00
STIP	SLB.88104238	-50	0.21	5,000.00
<b>Total</b>				<b>50,778.00</b>

### Stock Yield Enhancement Program Securities Collateral Held at IBKRSS

Symbol	Quantity	Price	Value
<b>Securities</b>			
<b>USD</b>			
T 3 1/2 01/31/28	52,157.5677	97.36	50,778.00
<b>Total</b>			<b>50,778.00</b>
<b>Total (All Assets)</b>			<b>50,778.00</b>

### Stock Yield Enhancement Program Securities Lent Activity

Symbol	Date	Description	Transaction ID	Quantity	Collateral Amount
<b>Stocks</b>					
<b>USD</b>					
DIA	2023-12-08	Loan Return Allocation	SLB.88057927	100	-36,900.00
DIA	2023-12-08	New Loan Allocation	SLB.88103627	-100	37,000.00
QQQ	2023-12-08	Loan Return Allocation	SLB.88058837	27	-10,611.00
QQQ	2023-12-08	New Loan Allocation	SLB.88058837	-22	8,778.00
STIP	2023-12-08	Loan Return Allocation	SLB.88002571	48	-4,800.00
STIP	2023-12-08	New Loan Allocation	SLB.88104238	-50	5,000.00
<b>Total</b>					<b>-1,533.00</b>

#### Notes

- Important Notice re: SIPC Protection for Loans of Fully Paid and Excess Margin Securities: Please be aware that if you execute loans of your fully paid or excess margin securities, the provisions of the Securities Investor Protection Act of 1970 may not protect you with respect to the securities loan transaction. Therefore, the collateral value credited to your account by Interactive Brokers (see above) may constitute the only source of satisfaction in the event that Interactive Brokers cannot return the securities.

## Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
<b>Stocks</b>							
DIA	SPDR DJIA TRUST	73128548	US78467X1090	ARCA	1	ETF	
GSG	ISHARES S&P GSCI COMMODITY I	40066344	US46428R1077	ARCA	1	ETF	
IQQR	ISHARES MSCI EAST EUROPE CPD	37036648	IE00B0M63953	SWB2	1	ETF	
QQQ	INVESCO QQQ TRUST SERIES 1	320227571	US46090E1038	NASDAQ	1	ETF	
SHY	ISHARES 1-3 YEAR TREASURY BO	15547851	US4642874576	NASDAQ	1	ETF	
SPY	SPDR S&P 500 ETF TRUST	756733	US78462F1030	ARCA	1	ETF	
STIP	ISHARES 0-5 YEAR TIPS BOND E	81580986	US46429B7477	ARCA	1	ETF	
TIP	ISHARES TIPS BOND ETF	26787475	US4642871762	ARCA	1	ETF	
USFR	WISDOMTREE FLOATING RATE TRE	550122880	US97717Y5270	ARCA	1	ETF	
VVOB	VANGUARD EMERG MKTS GOV BND	128835014	US9219468850	NASDAQ	1	ETF	

## Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
AED	0.272310	JPY	0.006899
AUD	0.657810	KRW	0.000759
BGN	0.550300	MXN	0.057626
BRL	0.202760	NOK	0.091695
CAD	0.736180	NZD	0.612150
CHF	1.136500	PLN	0.248260
CNH	0.139170	RON	0.216620
CNY	0.139550	RUB	0.010852
CZK	0.044146	SAR	0.266620
DKK	0.144370	SEK	0.095537
EUR	1.076300	SGD	0.745070
GBP	1.254900	THB	0.028129
HKD	0.128060	TRY	0.034520
HUF	0.002822	TWD	0.031795
ILS	0.269820	ZAR	0.052684
INR	0.011986		

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LT	Long Term P/L
ADR	ADR Fee Accrual	Lo	Direct Loan
AEx	Automatic exercise for dividend-related recommendation.	M	Entered manually by IB
AFx	AutoFX conversion resulting from trading	MEx	Manual exercise for dividend-related recommendation.

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Adj	Adjustment	ML	Maximize Losses tax basis election
Al	Allocation	MLG	Maximize Long Term Gain tax basis election
Aw	Away Trade	MLL	Maximize Long Term Loss tax basis election
B	Automatic Buy-in	MSG	Maximize Short Term Gain tax basis election
Bo	Direct Borrow	MSL	Maximize Short Term Loss tax basis election
C	Closing Trade	O	Opening Trade
CD	Cash Delivery	P	Partial Execution
CP	Complex Position	PE	Perpetual Investment
Ca	Cancelled	PI	Price Improvement
Co	Corrected Trade	Po	Interest or Dividend Accrual Posting
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
ETF	ETF Creation/Redemption	R	Dividend Reinvestment
Ep	Resulted from an Expired Position	RED	Redemption to Investor
Ex	Exercise	RI	Recurring Investment
FP	The fractional portion of this trade was executed against IB or an affiliate.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
FPA	The fractional portion of this trade was executed against IB or an affiliate. IB acted as agent for the whole share portion of this trade.	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	Rb	Rebill
GEA	Exercise or Assignment resulting from offsetting positions	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SF	Trade is subject to IBKR Lite Surcharge Fee if volume of such trades exceeds 10% of the total monthly Lite trade volume
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	The transaction was executed against IB or an affiliate	SO	This order was marked as solicited by your Introducing Broker
IM	A portion of the order was executed against IB or an affiliate; IB acted as agent on a portion.	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale	XCH	Mutual Fund Exchange Transaction
LI	Last In, First Out (LIFO) tax basis election		

## Notes/Legal Notes

### Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.



## Notes/Legal Notes

- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable regulatory fees for your transactions are available on the IB website at [www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php](http://www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php).
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the collateral value on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.
- Additional information about your Forex transactions may be requested, including information required under NFA Rule 2-36(o) about Forex trades in the same currency pair as any Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

### Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: [help@interactivebrokers.com](mailto:help@interactivebrokers.com). If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

### Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

#### Interactive Brokers:

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**Interactive Brokers Canada Inc., [www.interactivebrokers.ca](http://www.interactivebrokers.ca), 877-745-4222 (Can.)**

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Central Europe Zrt.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
- IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email [help@interactivebrokers.com](mailto:help@interactivebrokers.com).
- For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
- Customer is requested to promptly advise Interactive Brokers of any material change in Customers investment objectives.
- A financial statement of Interactive Brokers LLC is available for your personal inspection at [www.interactivebrokers.com](http://www.interactivebrokers.com) or at its offices, or a copy of it will be mailed upon your written request.
- For trades executed on the ASX market, the ASX 24 market and the Cboe Australia market, this confirmation is issued subject to: (i) the Applicable Laws, the directions, decisions and requirements of the relevant market operator, the operating rules of the relevant market, the clearing rules and where relevant, the relevant settlement rules, (ii) the customs and usages of the relevant financial market, and (iii) the correction of errors and omissions. Trades in Cash Market Products (as that term is defined in the relevant ASIC market integrity rules) on ASX market and Cboe Australia are cleared by BNP Paribas, ARBN 149 440 291, AFSL 402467, who is a participant of ASX Clear Pty Ltd and ASX Settlement Pty Ltd. Trades in Derivative Products on ASX and all products on ASX 24 are cleared by Interactive Brokers Australia ("IBA") as a participant of ASX Clear Pty Ltd and ASX Clear (Futures) Pty Ltd. If your transaction was a crossing transaction, IBA may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and acted as Principal on the other side. Under the Corporations Act 2001, where IBA enters into an exchange traded derivative on a customer's behalf, IBA is regarded as having issued the derivative to the customer.

## Notes/Legal Notes

10. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Interactive Brokers Hong Kong Limited on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
11. Interactive Brokers LLC is a SIPC member. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

**Interactive Brokers LLC is a SIPC Member**