

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

## Account Information

Name	
Account Alias	2GB Investment Account
Account	U1713881
Account Type	Individual
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	USD

## Net Asset Value

	April 4, 2024		April 5, 2024			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	319.54	406.22	0.00	406.22	86.68	Starting Value	296,503.87	
Collateral Value	13,272.00	0.00	0.00	0.00	-13,272.00	Mark-to-Market	1,405.95	
Stock	295,891.68	297,297.63	0.00	297,297.63	1,405.95	Dividends	86.68	
Securities Lent	-13,272.00	0.00	0.00	0.00	13,272.00	Change in Dividend Accruals	-86.68	
Interest Accruals	3.14	4.15	0.00	4.15	1.01	Change in Interest Accruals	1.01	
Dividend Accruals	289.51	202.83	0.00	202.83	-86.68	Ending Value	297,910.83	
<b>Total</b>	<b>296,503.87</b>	<b>297,910.83</b>	<b>0.00</b>	<b>297,910.83</b>	<b>1,406.96</b>			

**Time Weighted Rate of Return** 0.47%

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
<b>Stocks</b>										
EUFN	530	530	22.7200	22.7600	21.20	0.00	0.00	0.00	21.20	
GLD	146	146	211.5200	215.1400	528.52	0.00	0.00	0.00	528.52	
GSG	677	677	22.7700	22.8400	47.39	0.00	0.00	0.00	47.39	
IQQR	40	40	--	--	0.00	0.00	0.00	0.00	0.00	
QQQ	67	67	435.3400	440.4700	343.71	0.00	0.00	0.00	343.71	
SPY	59	59	513.0700	518.4300	316.24	0.00	0.00	0.00	316.24	
STIP	596	596	99.4900	99.3800	-65.56	0.00	0.00	50.82	-14.74	

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
TIP	553	553	107.0800	106.6300	-248.85	0.00	0.00	35.86	-212.99	
USFR	263	263	50.3400	50.3500	2.63	0.00	0.00	0.00	2.63	
VTI	136	136	254.6100	257.1800	349.52	0.00	0.00	0.00	349.52	
XLF	285	285	41.1800	41.5700	111.15	0.00	0.00	0.00	111.15	
<b>Total Stocks</b>					<b>1,405.95</b>	<b>0.00</b>	<b>0.00</b>	<b>86.68</b>	<b>1,492.63</b>	
<b>Forex</b>										
CAD	0.00	0.00	0.73836	0.73581	0.00	0.00	0.00	0.00	0.00	
CHF	0.00	0.00	1.1094	1.1087	0.00	0.00	0.00	0.00	0.00	
JPY	0.00	0.00	0.0066075	0.0065954	0.00	0.00	0.00	0.00	0.00	
USD	319.54	406.22	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
<b>Total Forex</b>					<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Total (All Assets)</b>					<b>1,405.95</b>	<b>0.00</b>	<b>0.00</b>	<b>86.68</b>	<b>1,492.63</b>	

## Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
<b>Stocks</b>													
EUFN	0.00	0.00	0.00	0.00	0.00	0.00	533.95	0.00	0.00	0.00	533.95	533.95	
GLD	0.00	0.00	0.00	0.00	0.00	0.00	3,099.18	0.00	0.00	0.00	3,099.18	3,099.18	
GSG	0.00	0.00	0.00	0.00	0.00	0.00	160.48	-76.52	0.00	0.00	83.96	83.96	
IQQR	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-170.91	-170.91	-170.91	
QQQ	0.00	0.00	0.00	0.00	0.00	0.00	231.64	0.00	0.00	0.00	231.64	231.64	
SPY	0.00	0.00	0.00	0.00	0.00	0.00	1,480.06	0.00	0.00	0.00	1,480.06	1,480.06	
STIP	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-7.50	434.70	0.00	427.20	427.20	
TIP	0.00	0.00	0.00	0.00	0.00	0.00	409.90	-41.46	32.44	-92.48	308.40	308.40	
USFR	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-24.98	0.00	0.00	-24.98	-24.98	
VTI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-453.20	0.00	0.00	-453.20	-453.20	
XLF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-57.42	0.00	0.00	-57.42	-57.42	
<b>Total Stocks</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>5,915.21</b>	<b>-661.09</b>	<b>467.14</b>	<b>-263.39</b>	<b>5,457.87</b>	<b>5,457.87</b>	

### Notes

1. This statement uses wash sales P/L for realized and unrealized P/L calculations.

## Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
<b>Stocks</b>							
DIA	SPDR DJIA TRUST	0.00	596.37	0.00	3,902.79	0.00	0.00
EUFN	ISHARES MSCI EUROPE FINANCIA	10.60	533.95	0.00	0.00	0.00	0.00
EWV	ISHARES MSCI MEXICO ETF	0.00	90.22	0.00	90.22	0.00	0.00
GLD	SPDR GOLD SHARES	1,375.32	3,099.18	0.00	0.00	0.00	0.00
GSG	ISHARES S&P GSCI COMMODITY I	528.06	1,663.81	0.00	-241.56	0.00	0.00

## Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
IEF	ISHARES 7-10 YEAR TREASURY B	0.00	37.24	0.00	0.00	0.00	0.00
IQQR	ISHARES MSCI EAST EUROPE CPD	0.00	-196.36	0.00	0.00	0.00	0.00
ITA	ISHARES U.S. AEROSPACE & DEF	0.00	28.02	0.00	22.17	0.00	0.00
QQQ	INVESCO QQQ TRUST SERIES 1	-237.18	2,506.80	0.00	5,417.84	0.00	0.00
SHY	ISHARES 1-3 YEAR TREASURY BO	0.00	4.01	0.00	135.07	0.00	0.00
SPY	SPDR S&P 500 ETF TRUST	-273.76	3,135.28	0.00	5,155.19	0.00	0.00
STIP	ISHARES 0-5 YEAR TIPS BOND E	21.02	498.76	0.00	0.00	0.00	0.00
TIP	ISHARES TIPS BOND ETF	-395.48	-183.97	0.00	0.00	0.00	0.00
TLT	ISHARES 20+ YEAR TREASURY BO	0.00	29.63	0.00	0.00	0.00	0.00
USFR	WISDOMTREE FLOATING RATE TRE	15.78	926.58	0.00	-271.32	0.00	0.00
VTI	VANGUARD TOTAL STOCK MKT ETF	-369.92	-329.37	0.00	0.00	0.00	0.00
VWOB	VANGUARD EMERG MKTS GOV BND	0.00	128.00	0.00	1,051.60	0.00	0.00
XLE	ENERGY SELECT SECTOR SPDR	0.00	208.22	0.00	183.27	0.00	0.00
XLF	FINANCIAL SELECT SECTOR SPDR	-156.75	-57.42	0.00	0.00	0.00	0.00
XLI	INDUSTRIAL SELECT SECT SPDR	0.00	160.96	0.00	142.90	0.00	0.00
<b>Total Stocks</b>		<b>517.69</b>	<b>12,879.90</b>	<b>0.00</b>	<b>15,588.18</b>	<b>0.00</b>	<b>0.00</b>
<b>Equity and Index Options</b>							
DIA 240216C00378000	DIA 16FEB24 378 C	0.00	138.40	0.00	138.40	0.00	0.00
DIA 240216P00378000	DIA 16FEB24 378 P	0.00	-15.85	0.00	-15.85	0.00	0.00
HYG 240126P00077500	HYG 26JAN24 77.5 P	0.00	39.68	0.00	39.68	0.00	0.00
QQQ 240216C00422000	QQQ 16FEB24 422 C	0.00	58.74	0.00	58.74	0.00	0.00
QQQ 240315C00442000	QQQ 15MAR24 442 C	0.00	439.37	0.00	439.37	0.00	0.00
SPY 240125C00483000	SPY 25JAN24 483 C	0.00	-343.80	0.00	0.00	0.00	0.00
SPY 240216C00483000	SPY 16FEB24 483 C	0.00	268.90	0.00	268.90	0.00	0.00
<b>Total Equity and Index Options</b>		<b>0.00</b>	<b>585.44</b>	<b>0.00</b>	<b>929.23</b>	<b>0.00</b>	<b>0.00</b>
<b>Bonds</b>							
T 4 1/8 09/30/27	United States Treasury T 4 1/8 09/30/27	0.00	85.38	0.00	-129.02	0.00	0.00
T 4 7/8 10/31/28	United States Treasury T 4 7/8 10/31/28	0.00	-29.26	0.00	-203.24	0.00	0.00
<b>Total Bonds</b>		<b>0.00</b>	<b>56.12</b>	<b>0.00</b>	<b>-332.26</b>	<b>0.00</b>	<b>0.00</b>
<b>Total (All Assets)</b>		<b>517.69</b>	<b>13,521.46</b>	<b>0.00</b>	<b>16,185.16</b>	<b>0.00</b>	<b>0.00</b>

## Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
<b>Base Currency Summary</b>					
<b>Cash Detail</b>					
Starting Cash	319.54	319.53	0.00		
Commissions	0.00	0.00	0.00	0.00	-91.70
Dividends	86.68	86.68	0.00	86.68	1,542.57
Broker Interest Paid and Received	0.00	0.00	0.00	25.06	64.46
Bond Interest Paid and Received	0.00	0.00	0.00	0.00	775.25
Trades (Sales)	0.00	0.00	0.00	0.00	499,925.05
Trades (Purchase)	0.00	0.00	0.00	0.00	-503,338.29
Other Fees	0.00	0.00	0.00	-13.94	-76.02

## Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
Withholding Tax	0.00	0.00	0.00	0.00	1,187.30
Cash FX Translation Gain/Loss	0.00	0.00	0.00		
Ending Cash	406.22	406.21	0.00		
Ending Settled Cash	406.22	406.21	0.00		
<b>Collateral Value Detail</b>					
Starting Collateral Value	13,272.00	13,272.00	0.00		
Net Securities Lent Activity	-13,272.00	-13,272.00	0.00		
Ending Collateral Value	0.00	0.00	0.00		
<b>Net Cash Detail</b>					
Net Cash Balance	0.00	0.00	0.00		
Net Settled Cash Balance	0.00	0.00	0.00		

## Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
<b>Stocks</b>								
<b>EUR</b>								
IQQR	40	1	3.94269055	157.71	0.0000	0.00	-157.71	
<b>Total</b>				<b>157.71</b>		<b>0.00</b>	<b>-157.71</b>	
<b>Total in USD</b>				<b>170.91</b>		<b>0.00</b>	<b>-170.91</b>	
<b>USD</b>								
EUFN	530	1	21.75254717	11,528.85	22.7600	12,062.80	533.95	
GLD	146	1	193.91273973	28,311.26	215.1400	31,410.44	3,099.18	
GSG	677	1	22.7159796	15,378.72	22.8400	15,462.68	83.96	
QQQ	67	1	437.01265822	29,279.85	440.4700	29,511.49	231.64	
SPY	59	1	493.34428571	29,107.31	518.4300	30,587.37	1,480.06	
STIP	596	1	98.66322819	58,803.28	99.3800	59,230.48	427.20	
TIP	553	1	106.07231284	58,657.99	106.6300	58,966.39	308.40	
USFR	263	1	50.4450	13,267.04	50.3500	13,242.05	-24.98	
VTI	136	1	260.51235294	35,429.68	257.1800	34,976.48	-453.20	
XLF	285	1	41.77149123	11,904.88	41.5700	11,847.45	-57.42	
<b>Total</b>				<b>291,668.85</b>		<b>297,297.63</b>	<b>5,628.78</b>	
<b>Total Stocks in USD</b>				<b>291,839.76</b>		<b>297,297.63</b>	<b>5,457.87</b>	

## Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
<b>Stocks</b>					
<b>EUR</b>					
IQQR	ISHARES MSCI EAST EUROPE CPD	40	0	0	40
<b>USD</b>					
EUFN	ISHARES MSCI EUROPE FINANCIA	530	0	0	530
GLD	SPDR GOLD SHARES	146	0	0	146

## Net Stock Position Summary

Symbol	Description	Shares at IB	Shares Borrowed	Shares Lent	Net Shares
GSG	ISHARES S&P GSCI COMMODITY I	677	0	0	677
QQQ	INVESCO QQQ TRUST SERIES 1	67	0	0	67
SPY	SPDR S&P 500 ETF TRUST	59	0	0	59
STIP	ISHARES 0-5 YEAR TIPS BOND E	596	0	0	596
TIP	ISHARES TIPS BOND ETF	553	0	0	553
USFR	WISDOMTREE FLOATING RATE TRE	263	0	0	263
VTI	VANGUARD TOTAL STOCK MKT ETF	136	0	0	136
XLF	FINANCIAL SELECT SECTOR SPDR	285	0	0	285

## Interest Accruals

### Base Currency Summary

Starting Accrual Balance	3.14
Interest Accrued	1.01
Accrual Reversal	0.00
Ending Accrual Balance	4.15

## Dividends

Date	Description	Amount
<b>USD</b>		
2024-04-05	STIP(US46429B7477) Cash Dividend USD 0.085272 per Share (Ordinary Dividend)	50.82
2024-04-05	TIP(US4642871762) Cash Dividend USD 0.064844 per Share (Ordinary Dividend)	35.86
<b>Total</b>		<b>86.68</b>

## Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
<b>Starting Dividend Accruals in USD</b>									<b>289.51</b>	
<b>Stocks</b>										
<b>USD</b>										
STIP	2024-04-05	2024-04-01	2024-04-05	596	0.00	0.00	0.09	-50.82	-50.82	Re
TIP	2024-04-05	2024-04-01	2024-04-05	553	0.00	0.00	0.06	-35.86	-35.86	Re
<b>Total</b>					<b>0.00</b>	<b>0.00</b>		<b>-86.68</b>	<b>-86.68</b>	
<b>Ending Dividend Accruals in USD</b>									<b>202.83</b>	

## Stock Yield Enhancement Program Securities Lent Activity

Symbol	Date	Description	Transaction ID	Quantity	Collateral Amount
<b>Stocks</b>					
<b>USD</b>					
EUFN	2024-04-05	Loan Return Allocation	SLB.91935032	500	-12,000.00
GSG	2024-04-05	Loan Return Allocation	SLB.91963601	53	-1,272.00
<b>Total</b>					<b>-13,272.00</b>

### Notes

- Important Notice re: SIPC Protection for Loans of Fully Paid and Excess Margin Securities: Please be aware that if you execute loans of your fully paid or excess margin securities, the provisions of the Securities Investor Protection Act of 1970 may not protect you with respect to the securities loan transaction. Therefore, the collateral value credited to your account by Interactive Brokers (see above) may constitute the only source of satisfaction in the event that Interactive Brokers cannot return the securities.

## Stock Yield Enhancement Program Securities Lent Interest Details

Value Date	Symbol	Start Date	Quantity	Collateral Amount	Market-based Rate (%)	Interest Rate on Customer Collateral (%)	Interest Paid to Customer	Code
<b>USD</b>								
2024-04-04	EUFN	2024-04-04	-500	12,000.00	5.99	3.00	1.00	Po
2024-04-04	GSG	2024-04-04	-53	1,272.00	0.69	0.35	0.01	Po
<b>Total</b>							<b>1.01</b>	

## Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
<b>Stocks</b>							
EUFN	ISHARES MSCI EUROPE FINANCIA	72086400	US4642891802	NASDAQ	1	ETF	
GLD	SPDR GOLD SHARES	51529211	US78463V1070	ARCA	1	ETF	
GSG	ISHARES S&P GSCI COMMODITY I	40066344	US46428R1077	ARCA	1	ETF	
IQQR	ISHARES MSCI EAST EUROPE CPD	37036648	IE00B0M63953	VALUE	1	ETF	
QQQ	INVESCO QQQ TRUST SERIES 1	320227571	US46090E1038	NASDAQ	1	ETF	
SPY	SPDR S&P 500 ETF TRUST	756733	US78462F1030	ARCA	1	ETF	
STIP	ISHARES 0-5 YEAR TIPS BOND E	81580986	US46429B7477	ARCA	1	ETF	
TIP	ISHARES TIPS BOND ETF	26787475	US4642871762	ARCA	1	ETF	
USFR	WISDOMTREE FLOATING RATE TRE	550122880	US97717Y5270	ARCA	1	ETF	
VTI	VANGUARD TOTAL STOCK MKT ETF	12340041	US9229087690	ARCA	1	ETF	
XLF	FINANCIAL SELECT SECTOR SPDR	4215220	US81369Y6059	ARCA	1	ETF	

## Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
AED	0.272300	JPY	0.006595
AUD	0.657930	KRW	0.000740
BGN	0.554100	MXN	0.060775
BRL	0.197390	MYR	0.210660
CAD	0.735810	NOK	0.093228
CHF	1.108700	NZD	0.601260
CNH	0.137980	PLN	0.253140
CNY	0.138240	RON	0.218160
CZK	0.042806	RUB	0.010809
DKK	0.145300	SAR	0.266600
EUR	1.083700	SEK	0.093976
GBP	1.263800	SGD	0.741410
HKD	0.127730	THB	0.027335
HUF	0.002779	TRY	0.031220

## Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
ILS	0.265640	TWD	0.031123
INR	0.012002	ZAR	0.053528

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LT	Long Term P/L
ADR	ADR Fee Accrual	Lo	Direct Loan
AEx	Automatic exercise for dividend-related recommendation.	M	Entered manually by IB
AFx	AutoFX conversion resulting from trading	MEx	Manual exercise for dividend-related recommendation.
Adj	Adjustment	ML	Maximize Losses tax basis election
Al	Allocation	MLG	Maximize Long Term Gain tax basis election
Aw	Away Trade	MLL	Maximize Long Term Loss tax basis election
B	Automatic Buy-in	MSG	Maximize Short Term Gain tax basis election
Bo	Direct Borrow	MSL	Maximize Short Term Loss tax basis election
C	Closing Trade	O	Opening Trade
CD	Cash Delivery	P	Partial Execution
CP	Complex Position	PE	Perpetual Investment
Ca	Cancelled	PI	Price Improvement
Co	Corrected Trade	Po	Interest or Dividend Accrual Posting
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
ETF	ETF Creation/Redemption	R	Dividend Reinvestment
Ep	Resulted from an Expired Position	RED	Redemption to Investor
Ex	Exercise	RI	Recurring Investment
FP	The fractional portion of this trade was executed against IB or an affiliate.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
FPA	The fractional portion of this trade was executed against IB or an affiliate. IB acted as agent for the whole share portion of this trade.	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	Rb	Rebill
GEA	Exercise or Assignment resulting from offsetting positions	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SF	Trade is subject to IBKR Lite Surcharge Fee if volume of such trades exceeds 10% of the total monthly Lite trade volume
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	The transaction was executed against IB or an affiliate	SO	This order was marked as solicited by your Introducing Broker
IM	A portion of the order was executed against IB or an affiliate; IB acted as agent on a portion.	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale	XCH	Mutual Fund Exchange Transaction
LI	Last In, First Out (LIFO) tax basis election		

## Notes/Legal Notes

### Notes

1. Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
2. Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
3. Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
4. Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
5. IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
6. In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
7. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
8. Trade execution times are displayed in Eastern Time.
9. Applicable regulatory fees for your transactions are available on the IB website at [www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php](http://www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php).
10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the collateral value on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.
15. Additional information about your Forex transactions may be requested, including information required under NFA Rule 2-36(o) about Forex trades in the same currency pair as any Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

### Mutual Fund Notes

1. All purchase and sales of mutual funds are calculated against the published NAV (Net Asset Value) of the underlying fund and is displayed on this confirmation as the "C. Price" (Closing Price). Due to any fees charged by a fund and/or any share rounding, the net price you pay may vary from the published NAV and is shown on this confirmation as the "T. Price" (Trade Price).

### Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: [help@interactivebrokers.com](mailto:help@interactivebrokers.com). If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

### Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

#### Interactive Brokers:

**Interactive Brokers LLC, [www.interactivebrokers.com](http://www.interactivebrokers.com), 877-442-2757 (U.S.)**

**Interactive Brokers (UK) (Ltd), [www.interactivebrokers.co.uk](http://www.interactivebrokers.co.uk), 00800-42-276537 (Intl)**

**Interactive Brokers Canada Inc., [www.interactivebrokers.ca](http://www.interactivebrokers.ca), 877-745-4222 (Can.)**

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Central Europe Zrt.; Interactive Brokers Hong Kong Limited; Interactive Brokers Ireland, Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.



## Notes/Legal Notes

5. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email [help@interactivebrokers.com](mailto:help@interactivebrokers.com).
6. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
7. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives.
8. A financial statement of Interactive Brokers LLC is available for your personal inspection at [www.interactivebrokers.com](http://www.interactivebrokers.com) or at its offices, or a copy of it will be mailed upon your written request.
9. For trades executed on the ASX market, the ASX 24 market and the Cboe Australia market, this confirmation is issued subject to: (i) the Applicable Laws, the directions, decisions and requirements of the relevant market operator, the operating rules of the relevant market, the clearing rules and where relevant, the relevant settlement rules, (ii) the customs and usages of the relevant financial market, and (iii) the correction of errors and omissions. Trades in Cash Market Products (as that term is defined in the relevant ASIC market integrity rules) on ASX market and Cboe Australia are cleared by BNP Paribas, ARBN 149 440 291, AFSL 402467, who is a participant of ASX Clear Pty Ltd and ASX Settlement Pty Ltd. Trades in Derivative Products on ASX and all products on ASX 24 are cleared by Interactive Brokers Australia ("IBA") as a participant of ASX Clear Pty Ltd and ASX Clear (Futures) Pty Ltd. If your transaction was a crossing transaction, IBA may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and acted as Principal on the other side. Under the Corporations Act 2001, where IBA enters into an exchange traded derivative on a customer's behalf, IBA is regarded as having issued the derivative to the customer.
10. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Interactive Brokers Hong Kong Limited on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOC, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
11. Interactive Brokers LLC is a SIPC member. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

### **Interactive Brokers LLC is a SIPC Member**