

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

## Account Information

Name	
Account Alias	2GB Investment Account
Account	U1713881
Account Type	Individual
Customer Type	Individual
Account Capabilities	Portfolio Margin
Base Currency	USD

## Net Asset Value

	July 11, 2024	July 12, 2024			Change	Change in NAV	
	Total	Long	Short	Total			Total
Cash	1,017.75	1,017.75	0.00	1,017.75	0.00	Starting Value	311,336.94
Stock	304,759.78	305,295.83	0.00	305,295.83	536.05	Mark-to-Market	802.21
Options	5,506.64	5,772.80	0.00	5,772.80	266.16	Ending Value	312,139.15
Dividend Accruals	52.77	52.77	0.00	52.77	0.00		
<b>Total</b>	<b>311,336.94</b>	<b>312,139.15</b>	<b>0.00</b>	<b>312,139.15</b>	<b>802.21</b>		

**Time Weighted Rate of Return** **0.26%**

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
<b>Stocks</b>										
BOXX	147	147	107.9800	108.0300	7.35	0.00	0.00	0.00	7.35	
GLD	73	73	223.2500	223.1100	-10.22	0.00	0.00	0.00	-10.22	
GSG	677	677	22.2500	22.1600	-60.93	0.00	0.00	0.00	-60.93	
IEI	375	375	116.3800	116.6000	82.50	0.00	0.00	0.00	82.50	
IQQR	40	40	--	--	0.00	0.00	0.00	0.00	0.00	
SHY	530	530	81.8400	81.9600	63.60	0.00	0.00	0.00	63.60	
SLQD	665	665	49.4400	49.5200	53.20	0.00	0.00	0.00	53.20	
SPY	30	30	556.4800	559.9900	105.30	0.00	0.00	0.00	105.30	
STIP	596	596	99.4000	99.5200	71.52	0.00	0.00	0.00	71.52	

## Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
TIP	415	415	107.3400	107.5100	70.55	0.00	0.00	0.00	70.55	
XLK	74	74	231.7300	233.8000	153.18	0.00	0.00	0.00	153.18	
<b>Total Stocks</b>					<b>536.05</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>536.05</b>	
<b>Equity and Index Options</b>										
SPY 20SEP24 540 C	2	2	27.5332	28.8640	266.16	0.00	0.00	0.00	266.16	
<b>Total Equity and Index Options</b>					<b>266.16</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>266.16</b>	
<b>Forex</b>										
CAD	0.00	0.00	0.73354	0.73337	0.00	0.00	0.00	0.00	0.00	
CHF	0.00	0.00	1.1155	1.1181	0.00	0.00	0.00	0.00	0.00	
JPY	0.00	0.00	0.0062947	0.0063325	0.00	0.00	0.00	0.00	0.00	
USD	1,017.75	1,017.75	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
<b>Total Forex</b>					<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Total (All Assets)</b>					<b>802.21</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>802.21</b>	

## Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
<b>Stocks</b>													
BOXX	0.00	0.00	0.00	0.00	0.00	0.00	64.42	0.00	0.00	0.00	64.42	64.42	
GLD	0.00	0.00	0.00	0.00	0.00	0.00	1,748.67	0.00	0.00	0.00	1,748.67	1,748.67	
GSG	0.00	0.00	0.00	0.00	0.00	0.00	7.84	-384.24	0.00	0.00	-376.40	-376.40	
IEI	0.00	0.00	0.00	0.00	0.00	0.00	348.75	0.00	0.00	0.00	348.75	348.75	
IQQR	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-167.00	-167.00	-167.00	
SHY	0.00	0.00	0.00	0.00	0.00	0.00	204.32	0.00	0.00	0.00	204.32	204.32	
SLQD	0.00	0.00	0.00	0.00	0.00	0.00	232.75	0.00	0.00	0.00	232.75	232.75	
SPY	0.00	0.00	0.00	0.00	0.00	0.00	511.70	0.00	0.00	0.00	511.70	511.70	
STIP	0.00	0.00	0.00	0.00	0.00	0.00	50.74	0.00	459.90	0.00	510.64	510.64	
TIP	0.00	0.00	0.00	0.00	0.00	0.00	545.32	0.00	102.84	0.00	648.17	648.17	
XLK	0.00	0.00	0.00	0.00	0.00	0.00	1,760.46	0.00	0.00	0.00	1,760.46	1,760.46	
<b>Total Stocks</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>5,474.96</b>	<b>-384.24</b>	<b>562.74</b>	<b>-167.00</b>	<b>5,486.46</b>	<b>5,486.46</b>	
<b>Equity and Index Options</b>													
SPY 20SEP24 540 C	0.00	0.00	0.00	0.00	0.00	0.00	2,271.91	0.00	0.00	0.00	2,271.91	2,271.91	
<b>Total Equity and Index Options</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,271.91</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>2,271.91</b>	<b>2,271.91</b>	
<b>Total (All Assets)</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>7,746.87</b>	<b>-384.24</b>	<b>562.74</b>	<b>-167.00</b>	<b>7,758.37</b>	<b>7,758.37</b>	

### Notes

1. This statement uses wash sales P/L for realized and unrealized P/L calculations.

## Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
<b>Stocks</b>							

## Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
BOXX	ALPHA ARCHITECT 1-3 MNTH BOX	31.60	64.42	0.00	0.00	0.00	0.00
DIA	SPDR DJIA TRUST	0.00	596.37	0.00	3,902.79	0.00	0.00
EUFN	ISHARES MSCI EUROPE FINANCIA	0.00	952.46	0.00	952.46	0.00	0.00
EWV	ISHARES MSCI MEXICO ETF	0.00	90.22	0.00	90.22	0.00	0.00
GLD	SPDR GOLD SHARES	591.30	3,837.90	0.00	2,089.22	0.00	0.00
GSG	ISHARES S&P GSCI COMMODITY I	6.77	1,203.45	0.00	-241.56	0.00	0.00
IEF	ISHARES 7-10 YEAR TREASURY B	0.00	37.24	0.00	0.00	0.00	0.00
IEI	ISHARES 3-7 YEAR TREASURY BO	536.68	461.68	0.00	0.00	0.00	0.00
IQQR	ISHARES MSCI EAST EUROPE CPD	0.00	-191.55	0.00	0.00	0.00	0.00
ITA	ISHARES U.S. AEROSPACE & DEF	0.00	28.02	0.00	22.17	0.00	0.00
QQQ	INVESCO QQQ TRUST SERIES 1	0.00	2,532.93	0.00	5,584.43	0.00	0.00
SFIG	WISDOMTREE US SHORT TERM COR	0.00	-540.22	0.00	-540.22	0.00	0.00
SHY	ISHARES 1-3 YEAR TREASURY BO	307.61	351.63	0.00	135.07	0.00	0.00
SLQD	ISHARES 0-5 YR INV GRD CORP	283.07	436.84	0.00	0.00	0.00	0.00
SPY	SPDR S&P 500 ETF TRUST	473.10	3,761.74	0.00	6,638.37	0.00	0.00
STIP	ISHARES 0-5 YEAR TIPS BOND E	255.33	1,577.34	0.00	0.00	0.00	0.00
TIP	ISHARES TIPS BOND ETF	484.35	811.64	0.00	0.00	0.00	-114.88
TLT	ISHARES 20+ YEAR TREASURY BD	0.00	1,099.84	0.00	968.56	0.00	0.00
USFR	WISDOMTREE FLOATING RATE TRE	0.00	1,595.73	0.00	-232.07	0.00	0.00
VTI	VANGUARD TOTAL STOCK MKT ETF	0.00	-551.11	0.00	-674.94	0.00	0.00
VWOB	VANGUARD EMERG MKTS GOV BND	0.00	128.00	0.00	1,051.60	0.00	0.00
XLE	ENERGY SELECT SECTOR SPDR	0.00	208.22	0.00	183.27	0.00	0.00
XLF	FINANCIAL SELECT SECTOR SPDR	0.00	40.76	0.00	40.76	0.00	0.00
XLI	INDUSTRIAL SELECT SECT SPDR	0.00	160.96	0.00	142.90	0.00	0.00
XLK	TECHNOLOGY SELECT SECT SPDR	560.18	2,914.35	0.00	1,124.29	0.00	0.00
<b>Total Stocks</b>		<b>3,529.99</b>	<b>21,608.86</b>	<b>0.00</b>	<b>21,237.32</b>	<b>0.00</b>	<b>-114.88</b>
<b>Equity and Index Options</b>							
DIA 240216C00378000	DIA 16FEB24 378 C	0.00	138.40	0.00	138.40	0.00	0.00
DIA 240216P00378000	DIA 16FEB24 378 P	0.00	-15.85	0.00	-15.85	0.00	0.00
HYG 240126P00077500	HYG 26JAN24 77.5 P	0.00	39.68	0.00	39.68	0.00	0.00
QQQ 240216C00422000	QQQ 16FEB24 422 C	0.00	58.74	0.00	58.74	0.00	0.00
QQQ 240315C00442000	QQQ 15MAR24 442 C	0.00	439.37	0.00	439.37	0.00	0.00
SPY 240125C00483000	SPY 25JAN24 483 C	0.00	-343.80	0.00	0.00	0.00	0.00
SPY 240216C00483000	SPY 16FEB24 483 C	0.00	268.90	0.00	268.90	0.00	0.00
SPY 240426P00485000	SPY 26APR24 485 P	0.00	145.30	0.00	145.30	0.00	0.00
SPY 240426P00496000	SPY 26APR24 496 P	0.00	-141.09	0.00	-141.09	0.00	0.00
SPY 240426P00515000	SPY 26APR24 515 P	0.00	410.60	0.00	410.60	0.00	0.00
SPY 240514P00494000	SPY 14MAY24 494 P	0.00	-21.52	0.00	-21.52	0.00	0.00
SPY 240514P00501000	SPY 14MAY24 501 P	0.00	97.66	0.00	97.66	0.00	0.00
SPY 240628C00555000	SPY 28JUN24 555 C	0.00	199.44	199.44	199.44	0.00	0.00
SPY 240920C00520000	SPY 20SEP24 520 C	0.00	2,158.01	0.00	2,158.01	0.00	0.00
SPY 240920C00540000	SPY 20SEP24 540 C	1,981.16	2,271.91	0.00	0.00	0.00	0.00
<b>Total Equity and Index Options</b>		<b>1,981.16</b>	<b>5,705.73</b>	<b>199.44</b>	<b>3,777.62</b>	<b>0.00</b>	<b>0.00</b>
<b>Bonds</b>							
T 4 1/8 09/30/27	United States Treasury T 4 1/8 09/30/27	0.00	85.38	0.00	-129.02	0.00	0.00

## Month & Year to Date Performance Summary

Symbol	Description	Mark-to-Market		Realized S/T		Realized L/T	
		MTD	YTD	MTD	YTD	MTD	YTD
T 4 7/8 10/31/28	United States Treasury T 4 7/8 10/31/28	0.00	-29.26	0.00	-203.24	0.00	0.00
<b>Total Bonds</b>		<b>0.00</b>	<b>56.12</b>	<b>0.00</b>	<b>-332.26</b>	<b>0.00</b>	<b>0.00</b>
<b>Total (All Assets)</b>		<b>5,511.15</b>	<b>27,370.71</b>	<b>199.44</b>	<b>24,682.68</b>	<b>0.00</b>	<b>-114.88</b>

## Cash Report

	Total	Securities	Futures	Month to Date	Year to Date
<b>Base Currency Summary</b>					
<b>Cash Detail</b>					
Starting Cash	1,017.75	1,017.74	0.00		
Commissions	0.00	0.00	0.00	0.00	-139.23
Deposits	0.00	0.00	0.00	0.00	540.10
Dividends	0.00	0.00	0.00	778.61	4,745.38
Broker Interest Paid and Received	0.00	0.00	0.00	-5.25	76.53
Bond Interest Paid and Received	0.00	0.00	0.00	0.00	775.25
Trades (Sales)	0.00	0.00	0.00	0.00	860,470.49
Trades (Purchase)	0.00	0.00	0.00	0.00	-866,927.97
Other Fees	0.00	0.00	0.00	-13.87	-127.71
Withholding Tax	0.00	0.00	0.00	0.00	1,187.30
Cash FX Translation Gain/Loss	0.00	0.00	0.00		
Ending Cash	1,017.75	1,017.74	0.00		
Ending Settled Cash	1,017.75	1,017.74	0.00		

## Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
<b>Stocks</b>								
<b>EUR</b>								
IQQR	40	1	3.8282751	153.13	0.0000	0.00	-153.13	
<b>Total</b>				<b>153.13</b>		<b>0.00</b>	<b>-153.13</b>	
<b>Total in USD</b>				<b>167.00</b>		<b>0.00</b>	<b>-167.00</b>	
<b>USD</b>								
BOXX	147	1	107.59180272	15,816.00	108.0300	15,880.41	64.42	
GLD	73	1	199.15555875	14,538.36	223.1100	16,287.03	1,748.67	
GSG	677	1	22.7159796	15,378.72	22.1600	15,002.32	-376.40	
IEI	375	1	115.6700	43,376.25	116.6000	43,725.00	348.75	
SHY	530	1	81.5745	43,234.48	81.9600	43,438.80	204.32	
SLQD	665	1	49.1700	32,698.05	49.5200	32,930.80	232.75	
SPY	30	1	542.93333333	16,288.00	559.9900	16,799.70	511.70	
STIP	596	1	98.66322819	58,803.28	99.5200	59,313.92	510.64	
TIP	415	1	105.94815641	43,968.48	107.5100	44,616.65	648.17	
XLK	74	1	210.0100	15,540.74	233.8000	17,301.20	1,760.46	

## Open Positions

<b>Total</b>				<b>299,642.36</b>		<b>305,295.83</b>	<b>5,653.47</b>	
<b>Total Stocks in USD</b>				<b>299,809.37</b>		<b>305,295.83</b>	<b>5,486.46</b>	
<b>Symbol</b>	<b>Quantity</b>	<b>Mult</b>	<b>Cost Price</b>	<b>Cost Basis</b>	<b>Close Price</b>	<b>Value</b>	<b>Unrealized P/L</b>	<b>Code</b>
<b>Equity and Index Options</b>								
<b>USD</b>								
SPY 20SEP24 540 C	2	100	17.5044685	3,500.89	28.8640	5,772.80	2,271.91	
<b>Total</b>				<b>3,500.89</b>		<b>5,772.80</b>	<b>2,271.91</b>	

## Net Stock Position Summary

<b>Symbol</b>	<b>Description</b>	<b>Shares at IB</b>	<b>Shares Borrowed</b>	<b>Shares Lent</b>	<b>Net Shares</b>
<b>Stocks</b>					
<b>EUR</b>					
IQQR	ISHARES MSCI EAST EUROPE CPD	40	0	0	40
<b>USD</b>					
BOXX	ALPHA ARCHITECT 1-3 MNTH BOX	147	0	0	147
GLD	SPDR GOLD SHARES	73	0	0	73
GSG	ISHARES S&P GSCI COMMODITY I	677	0	0	677
IEI	ISHARES 3-7 YEAR TREASURY BO	375	0	0	375
SHY	ISHARES 1-3 YEAR TREASURY BO	530	0	0	530
SLQD	ISHARES 0-5 YR INV GRD CORP	665	0	0	665
SPY	SPDR S&P 500 ETF TRUST	30	0	0	30
STIP	ISHARES 0-5 YEAR TIPS BOND E	596	0	0	596
TIP	ISHARES TIPS BOND ETF	415	0	0	415
XLK	TECHNOLOGY SELECT SECT SPDR	74	0	0	74

## Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
<b>Stocks</b>							
BOXX	ALPHA ARCHITECT 1-3 MNTH BOX	604408720	US02072L5654	BATS	1	ETF	
GLD	SPDR GOLD SHARES	51529211	US78463V1070	ARCA	1	ETF	
GSG	ISHARES S&P GSCI COMMODITY I	40066344	US46428R1077	ARCA	1	ETF	
IEI	ISHARES 3-7 YEAR TREASURY BO	42454555	US4642886612	NASDAQ	1	ETF	
IQQR	ISHARES MSCI EAST EUROPE CPD	37036648	IE00B0M63953	VALUE	1	ETF	
SHY	ISHARES 1-3 YEAR TREASURY BO	15547851	US4642874576	NASDAQ	1	ETF	
SLQD	ISHARES 0-5 YR INV GRD CORP	136374388	US46434V1008	NASDAQ	1	ETF	
SPY	SPDR S&P 500 ETF TRUST	756733	US78462F1030	ARCA	1	ETF	
STIP	ISHARES 0-5 YEAR TIPS BOND E	81580986	US46429B7477	ARCA	1	ETF	
TIP	ISHARES TIPS BOND ETF	26787475	US4642871762	ARCA	1	ETF	
XLK	TECHNOLOGY SELECT SECT SPDR	4215230	US81369Y8030	ARCA	1	ETF	

Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code
<b>Equity and Index Options</b>									
SPY 240920C00540000	SPY 20SEP24 540 C	635885442	CBOE	100	2024-09-20	2024-09	C	540	

## Base Currency Exchange Rate

Currency	Rate	Currency (Cont.)	Rate (Cont.)
AED	0.272260	JPY	0.006332
AUD	0.678480	KRW	0.000727
BGN	0.557270	MXN	0.056750
BRL	0.184180	MYR	0.214160
CAD	0.733370	NOK	0.093244
CHF	1.118100	NZD	0.611880
CNH	0.137510	PLN	0.256400
CNY	0.137920	RON	0.219540
CZK	0.043052	RUB	0.011380
DKK	0.146170	SAR	0.266620
EUR	1.090600	SEK	0.094971
GBP	1.299000	SGD	0.745740
HKD	0.128080	THB	0.027701
HUF	0.002783	TRY	0.030263
ILS	0.277240	TWD	0.030737
INR	0.011972	ZAR	0.055700

## Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
AFx	AutoFX conversion resulting from trading	M	Entered manually by IB
Adj	Adjustment	MEx	Manual exercise for dividend-related recommendation.
Al	Allocation	ML	Maximize Losses tax basis election
Aw	Away Trade	MLG	Maximize Long Term Gain tax basis election
B	Automatic Buy-in	MLL	Maximize Long Term Loss tax basis election
Bo	Direct Borrow	MSG	Maximize Short Term Gain tax basis election
C	Closing Trade	MSL	Maximize Short Term Loss tax basis election
CD	Cash Delivery	O	Opening Trade
CP	Complex Position	P	Partial Execution
Ca	Cancelled	PE	Perpetual Investment
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PTA	Post Trade Allocation
DT	Discounted Trade	Po	Interest or Dividend Accrual Posting
De	Delivery or Conversion Action	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
ETF	ETF Creation/Redemption	R	Dividend Reinvestment
Ep	Resulted from an Expired Position	RED	Redemption to Investor
Ex	Exercise	RI	Recurring Investment
FP	The fractional portion of this trade was executed against IB or an affiliate.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
FPA	The fractional portion of this trade was executed against IB or an affiliate. IB acted as agent for the whole share portion of this trade.	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	Rb	Rebill
GEA	Exercise or Assignment resulting from offsetting positions	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SF	Trade is subject to IBKR Lite Surcharge Fee if volume of such trades exceeds 10% of the total monthly Lite trade volume
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	The transaction was executed against IB or an affiliate	SO	This order was marked as solicited by your Introducing Broker
IM	A portion of the order was executed against IB or an affiliate; IB acted as agent on a portion.	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale	XCH	Mutual Fund Exchange Transaction

## Notes/Legal Notes

### Notes

1. Stock exchange transactions generally settle on the trade date plus one business day (T+1). Options, futures and US open-end mutual fund transactions also settle on trade date plus one business day. Some exchanges and other transaction types may have longer or shorter settlement periods. Ending settled cash reflects the cash that has actually settled.
2. Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.

## Notes/Legal Notes

- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your independent advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IB acts as agent in executing the fractional share portion of your order. In certain circumstances, IB routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IB affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IB affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable regulatory fees for your transactions are available on the IB website at [www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php](http://www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php).
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the collateral value on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.
- Additional information about your Forex transactions may be requested, including information required under NFA Rule 2-36(o) about Forex trades in the same currency pair as any Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

### Mutual Fund Notes

- All purchase and sales of mutual funds are calculated against the published NAV (Net Asset Value) of the underlying fund and is displayed on this confirmation as the "C. Price" (Closing Price). Due to any fees charged by a fund and/or any share rounding, the net price you pay may vary from the published NAV and is shown on this confirmation as the "T. Price" (Trade Price).

### Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: [help@interactivebrokers.com](mailto:help@interactivebrokers.com). If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult an independent advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

### Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

#### Interactive Brokers:

**Interactive Brokers LLC, [www.interactivebrokers.com](http://www.interactivebrokers.com), 877-442-2757 (U.S.)**

**Interactive Brokers (UK) (Ltd), [www.interactivebrokers.co.uk](http://www.interactivebrokers.co.uk), 00800-42-276537 (Intl)**

**Interactive Brokers Canada Inc., [www.interactivebrokers.ca](http://www.interactivebrokers.ca), 877-745-4222 (Can.)**

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Central Europe Zrt.; Interactive Brokers Hong Kong Limited; Interactive Brokers Ireland, Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions and as riskless principal in spot precious metals transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange and spot precious metals transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
- IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email [help@interactivebrokers.com](mailto:help@interactivebrokers.com).
- For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
- Customer is requested to promptly advise Interactive Brokers of any material change in Customers investment objectives.



## Notes/Legal Notes

8. A financial statement of Interactive Brokers LLC is available for your personal inspection at [www.interactivebrokers.com](http://www.interactivebrokers.com) or at its offices, or a copy of it will be mailed upon your written request.
9. For trades executed on the ASX market, the ASX 24 market and the Cboe Australia market, this confirmation is issued subject to: (i) the Applicable Laws, the directions, decisions and requirements of the relevant market operator, the operating rules of the relevant market, the clearing rules and where relevant, the relevant settlement rules, (ii) the customs and usages of the relevant financial market, and (iii) the correction of errors and omissions. Trades in Cash Market Products (as that term is defined in the relevant ASIC market integrity rules) on ASX market and Cboe Australia are cleared by BNP Paribas, ARBN 149 440 291, AFSL 402467, who is a participant of ASX Clear Pty Ltd and ASX Settlement Pty Ltd. Trades in Derivative Products on ASX and all products on ASX 24 are cleared by Interactive Brokers Australia ("IBA") as a participant of ASX Clear Pty Ltd and ASX Clear (Futures) Pty Ltd. If your transaction was a crossing transaction, IBA may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and acted as Principal on the other side. Under the Corporations Act 2001, where IBA enters into an exchange traded derivative on a customer's behalf, IBA is regarded as having issued the derivative to the customer.
10. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Interactive Brokers Hong Kong Limited on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
11. Interactive Brokers LLC is a SIPC member. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

### **Interactive Brokers LLC is a SIPC Member**